

www.uniquefinancialadvisors.com



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PRIVACY POLICY

Dated February 18th, 2025

This brochure offers information about the privacy policy of Unique Financial Advisors Limited Liability Company, henceforth referred to as Unique Financial Advisors. If you have any questions regarding its contents, please call (732)615-0380 or email us at info@uniquefinancialadvisors.com. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or any state securities authority.

Unique Financial Advisors Limited Liability Company is registered as an investment adviser in New Jersey. Registration as an investment adviser does not imply any level of skill or training.

Additional information about Unique Financial Advisors can be found on the SEC's website at www.adviserinfo.sec.gov, using the firm's identification number (CRD) 174124.

Unique Financial Advisors recognizes that our relationships with current and prospective clients are built on integrity and trust. We are committed to safeguarding your privacy and maintaining

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the confidentiality of our relationships. We hold the information you share with us in high regard. Unique Financial Advisors will not disclose your personal information to anyone unless required by law or at your request. We will not sell your personal information. Unique Financial Advisors will provide our privacy statement to all clients on an annual basis.

We want our clients to understand what information we collect, how we use it, and how we protect their personal information.

Why We Collect Your Information

We gather information about you so that we can:

- Help design and implement the investment and planning-related services we provide you and
- Comply with the Federal and State laws and regulations that govern us.

What Information We Collect and Maintain

We may collect the following types of “nonpublic personal information” about you:

- Information from our initial meeting or subsequent consultations about your identity, including your name, address, social security number, date of birth, and financial details.
- Information that we generate to service your financial needs.
- Information that we may receive from third parties with respect to your financial profile.

What Information We Disclose

Under specific conditions, we are legally permitted to share nonpublic information about you with unaffiliated third parties. For instance, to provide planning or investment management services, we may disclose your personal information to various service providers, including our clearing firm or independent contractors engaged by Unique Financial Advisors, under certain circumstances. Unique Financial Advisors will not disclose any personal information about you or your accounts unless one of the following conditions is satisfied:

- We receive your prior written consent; or
- We have documentation confirming that the recipient is your authorized representative or
- We are required by law to disclose information to the recipient.

Arrangements with companies or independent contractors not associated with Unique Financial Advisors will be governed by confidentiality agreements.

How We Protect Your Personal Information

Privacy has always been a priority at Unique Financial Advisors. We limit access to client information strictly to those who need it for their business functions. We employ physical, electronic, and procedural safeguards to protect confidential personal information.